

This template may be used by Foundation trusts and NHS trusts to record the self-certifications that must be made under their NHS Provider Licence.  
You do not need to return your completed template to NHS Improvement unless it is requested for audit purposes.

## **Self-Certification Template - Conditions G6 and CoS7**

Liverpool University Hospitals NHS Foundation Trust



Foundation Trusts and NHS trusts are required to make the following self-certifications to NHS Improvement:

*Systems or compliance with licence conditions - in accordance with General condition 6 of the NHS provider licence*

*Availability of resources and accompanying statement - in accordance with Continuity of Services condition 7 of the NHS provider licence (Foundation Trusts designated CRS providers only)*

These self-certifications are set out in this template.

### **How to use this template**

- 1) Save this file to your Local Network or Computer.
- 2) Enter responses and information into the yellow data-entry cells as appropriate.
- 3) Once the data has been entered, add signatures to the document

**Declarations required by General condition 6 and Continuity of Service condition 7 of the NHS provider licence**

The board are required to respond "Confirmed" or "Not confirmed" to the following statements (please select 'not confirmed' if confirming another option). Explanatory information should be provided where required.

**1 & 2 General condition 6 - Systems for compliance with licence conditions (FTs and NHS trusts)**

1 Following a review for the purpose of paragraph 2(b) of licence condition G6, the Directors of the Licensee are satisfied that, in the Financial Year most recently ended, the Licensee took all such precautions as were necessary in order to comply with the conditions of the licence, any requirements imposed on it under the NHS Acts and have had regard to the NHS Constitution. Not confirmed

**3 Continuity of services condition 7 - Availability of Resources (FTs designated CRS only)**

3a After making enquiries the Directors of the Licensee have a reasonable expectation that the Licensee will have the Required Resources available to it after taking account distributions which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate.

OR

3b After making enquiries the Directors of the Licensee have a reasonable expectation, subject to what is explained below, that the Licensee will have the Required Resources available to it after taking into account in particular (but without limitation) any distribution which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate. However, they would like to draw attention to the following factors (as described in the text box below) which may cast doubt on the ability of the Licensee to provide Commissioner Requested Services. Confirmed

OR

3c In the opinion of the Directors of the Licensee, the Licensee will not have the Required Resources available to it for the period of 12 months referred to in this certificate.

**Statement of main factors taken into account in making the above declaration**

In making the above declaration, the main factors which have been taken into account by the Board of Directors are as follows:

Income and expenditure budgets have been set on robust and agreed principles and divisions should be able to provide high quality healthcare within the resources available, provided the cost improvement plans are achieved.

The long- and medium-term financial position as detailed in the Trust's Finance Plan 2021/22 considered by the Board of Directors in March 2022.

The year to date and the annual financial position as detailed in the following reports presented to the Board of Directors and relevant Board sub-Committees' and Executive Led Groups:

- Monthly Financial Performance Report
- Monthly Integrated Performance Report
- The 2021/22 annual accounts were prepared on a going concern basis (subject to confirmation once approved in June 2022)
- All key statutory financial targets were achieved for the year ended 31 March 2022.

The financial position within 2022/23 is challenging and a Trust and ICS plan remains to be finalised. The Trust position is influenced by a number of factors including reduction in system funding, the transition out of the Covid and respective funding regime, inflation above planning guidance and the significant impact of the opening of the New Royal. The Trust is working to achieve the best possible financial position in agreement with the ICS and NHSEI. CIP will be maximised in 2022/23 however additional resources are likely required if the Trust is to achieve balance especially in respect of the previously commissioned New Royal Hospital.

Signed on behalf of the board of directors, and, in the case of Foundation Trusts, having regard to the views of the governors

Signature 

Signature 

Name: Sue Musson

Name: James Sumner

Capacity: Chair

Capacity: Chief Executive Officer

Date: 31.05.2022

Date: 31.05.2022

Further explanatory information should be provided below where the Board has been unable to confirm declarations under G6.

**Systems for compliance with licence conditions and related obligations**

During the reporting year, the Trust has been placed with Enforcement Undertakings by NHSE/I with a System Oversight Framework rating of 4.

Following the CQC inspections in June and July 2021, the Trust was found to be in breach of its licence with conditions imposed on its registration.

An Improvement Plan has been developed and agreed via the System Improvement Board with reporting undertaken on a regular basis to the Trust's Board of Directors and the System Improvement Board.